

# **GESTION DES RAPPORTS ET REQUÊTES**

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BOPM

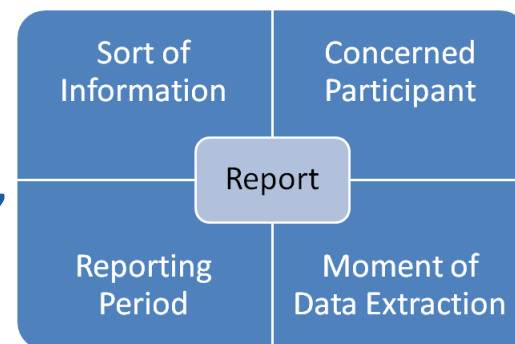
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# 1. Rapports



# CARACTÉRISTIQUES DES RAPPORTS PROPOSÉS

- Les rapports préétablis par ECMS permettront aux BCN ou Contreparties d'accéder aux informations relatives aux soldes, transactions effectuées et positions de collatéral.
- Un rapport est défini d'après les caractéristiques suivantes :
  - L'acteur ECMS concerné,
  - Le type d'information,
  - Le moment où les données sont extraites,
  - La période d'extraction du rapport





# MODALITÉS DE GÉNÉRATION DES RAPPORTS

- Les rapports seront disponibles en U2A et/ou A2A selon leur nature.
- Les rapports disponibles en U2A seront générés à la demande sous les formats suivants (pdf, excel, csv, xml, txt).
- Les rapports disponibles en A2A seront générés automatiquement au format ISO20022 ou d'autres formats (pdf, excel, csv, xml, txt). En cas de taille > 99 MB, plusieurs documents seront générés.
- Une fois générés en A2A, ECMS enregistrera ces rapports en base permettant ainsi d'y accéder en U2A pendant :
  - Un mois pour les rapports quotidiens,
  - Cinq semaines pour les rapports hebdomadaires,
  - Trois mois pour les rapports mensuels,
  - Huit mois pour les rapports annuels.



## MODALITÉS DE GÉNÉRATION DES RAPPORTS

- La génération d'un rapport pourra être déclenchée à une heure prédéfinie au cours de la journée opérationnelle ou suite à un évènement, selon une fréquence déterminée (quotidienne, mensuelle, annuelle).
- La structure des rapports sera standardisée et ne pourra pas être modifiée par l'acteur ECMS.



## MODALITÉS DE SOUSCRIPTION AUX RAPPORTS

- La souscription des rapports pourra être effectuée par la BCN ou par la Contrepartie elle-même en U2A.
- Les BCN et les contreparties pourront choisir les rapports qu'elles souhaitent recevoir ainsi que la fréquence de réception en certains cas.

# LISTE DES RAPPORTS DISPONIBLES POUR LES CONTREPARTIES

## REPORT TYPES

Category	Report Name	Description	Frequency	U2A / A2A	NCB / Counterparty
Reference Data	<u>Users and access rights</u>	Report to an NCB on the roles granted to its community and the roles granted to its own users	Weekly as required	U2A	NCB & Counterparty
		Report to a counterparty on the roles granted to its own users			
Collateral	<u>Assets losing eligibility</u>	List of mobilised assets and assets to be delivered in pending transactions that will become ineligible on the next business day per counterparty on a daily basis	Daily after EADB dissemination at 14:30	U2A	NCB & Counterparty
Other	<u>Pending actions</u>	Report for all not approved/pending items (case of U2A instructions requiring 4-eyes approval)	Daily as required	U2A	NCB & Counterparty
	<u>Statement of holdings</u>	Statement of holding sent to the account owner, refinancing central bank or Non-euro area central bank on request or on defined dates such as daily/weekly/monthly/yearly	Daily/Weekly/Monthly/Yearly at EOD/ on request	A2A (semt.002) <i>(en cours de discussion format U2A)</i>	NCB & Counterparty
	<u>Statement of Pool</u>	Statement of Pool <i>(en cours de discussion A2A)</i>	Daily/Weekly/Monthly/Yearly at EOD/ on request	A2A/U2A	NCB & Counterparty
	<u>Statement of transactions</u>	Statement of transactions sent to the account owner, refinancing central bank or Non-euro area central bank on request or on defined dates such as daily/weekly/monthly/yearly	Daily/Weekly/Monthly/Yearly at EOD/ on request	A2A (semt.017)	NCB & Counterparty
	<u>Statement of pending transactions</u>	Statement of transactions sent to the account owner, refinancing central bank or Non-euro area central bank on request or on defined dates such as daily/weekly/monthly/yearly	Daily/Weekly/Monthly/Yearly at EOD/ on request	A2A (semt.018)	NCB & Counterparty

# CONTENU DES RAPPORTS

## ▪ Users and access rights :

- *Une période de recherche devra être renseignée.*
- *En cas d'absence de période de recherche, ECMS sélectionnera automatiquement toutes les informations depuis la dernière date de génération du rapport.*

Attribute	Description
User Id.	Identifier of the user.
ECMS Party Id.	Identifier Party (including Party RIAD code, parent RIAD code, Party Name) to which the user belongs to.
User update.	Indicate if the user was recently created or deleted : <ul style="list-style-type: none"> <li>• New: The user has been created during the given period.</li> <li>• Deleted : The user has been deleted during the given period.</li> </ul> Blank if the user is active and but was not created nor deleted during the given period.
Creation Date Time	Date and Time the user was created.
Deletion Date Time	Date and Time the user was deleted.
Current Groups	List of groups the user currently belongs to.
New Groups Role Id.	Identifier of the role to which the user has been newly is assigned during the given period. For each new group the date and time the group was assigned is indicated.
Deleted Groups	List of groups to which the user has been removed during the given period. For each deleted group the date and time the group was removed is indicated.
Current Roles	List of roles to which the user is currently assigned to.
New Roles	List of roles to which the user has been newly assigned during the given period. For each new role the date and time the role was assigned is indicated.
Deleted Roles	List of roles to which the user has been removed during the given period. For each deleted role the date and time the role was removed is indicated.
User Administrator	Identifier of the party responsible for the administration of the user.
Report Date & Time	Date/hour when the report was generated. Format: YYYYMMDD HH:MM:SS



# CONTENU DES RAPPORTS

- Assets losing eligibility :

Attribute	Description
ISIN	International Securities Identification Number (ISIN) that uniquely identifies a security.
Asset Type	Type of asset.
Mobilising Counterparty RIAD code	Identifier of the Counterparty affected by the ineligibility.
Mobilising Counterparty name	Name of the Counterparty who mobilises the assets.
Issuer name	Name of the issuer.
CSD Id	CSD identifier where the asset is mobilised.
ECMS Internal Asset Account Id.	Identification of the ECMS Internal Asset Account where the asset is mobilised.
T2S Securities Account Id.	Identifier of the T2S Securities Account where the asset is held.
Validation status	Identifies the rule on which the deleted status is based.
Mobilised quantity	Quantity of the asset mobilised by the Counterparty.
Current collateral value	Current collateral value of the asset affected.
Pool Identifier	Identifier of the ECMS Pool the ECMS Pool where marketable assets are mobilised.
% of the CPTY pool total collateral value	Percentage of the counterparty's pool affected by the ineligibility.
Eligibility end date	This fields contains either the date of the deletion or the maturity date.
Report Date & Time	Date/hour when the report was generated. Format: YYYYMMDD HH:MM:SS



# CONTENU DES RAPPORTS

- Statement of holding of marketable assets :
  - *les positions et prix sont définis à même date (vision End-Of-Day et non plus Start-Of-Day).*

Attribute	Description
Internal asset account Id	Identifier of the internal asset account.
Account owner Id.	Identifier of the internal asset account owner.
Account owner name	Name of the internal asset account owner.
ISIN code	Identifier of the asset
Quantity	Quantity of the asset in the internal asset account
Collateral value	Collateral value after haircut of an asset in the internal asset account
Statement Value Date	Value date of the statement
Creation Date and Time	Date and time when the statement is created

- Statement of holding of non-marketable assets :

Attribute	Description
Internal asset account Id	Identifier of the internal asset account.
Account owner Id	Identifier of the internal asset account owner
Account owner name	Name of the internal asset account owner
Credit Claim ID	Identifier of the asset according to the Eurosystem Rules
Current Collateral Value Before Haircut	Value of the Credit claim before haircut
Current Collateral Value After Haircut	Value of the Credit claim after haircut
Statement Value Date	Value date of the statement
Creation Date and Time	Date and time when the statement is created



# CONTENU DES RAPPORTS

- Statement of transactions :

Attribute	Description
Internal asset account Id	Identifier of the internal asset account.
Account owner Id.	Identifier of the internal asset account owner.
Account owner name	Name of the internal asset account owner.
Instruction Ref.	Reference of the instruction which triggered the asset movement.
ISIN code	Identifier of the asset
Quantity	Quantity of the asset in the internal asset account
Statement Value Date	Value date of the statement
ECMS Settlement Instruction Id.	Identifier of the Settlement Instruction in the ECMS database.
Settlement Type	Type of instruction: DELI or RECE



# CONTENU DES RAPPORTS

- Statement of pending transactions :

Attribute	Description
Internal asset account Id	Identifier of the internal asset account.
Account owner Id.	Identifier of the internal asset account owner.
Account owner name	Name of the internal asset account owner.
Instruction Ref.	Reference of the instruction which triggered the asset movement.
ISIN code	Identifier of the asset
Quantity	Quantity of the asset in the internal asset account
Statement Value Date	Value date of the statement
ECMS Settlement Instruction Id.	Identifier of the Settlement Instruction in the ECMS database.
Settlement Type	Type of instruction: DELI or RECE
Intended settlement date	Intended settlement date of the ECMS Settlement Instruction
Status	Status of the pending instruction
Pending status reason	Reason why the pending instruction is not in a final status.

## 2. Requêtes

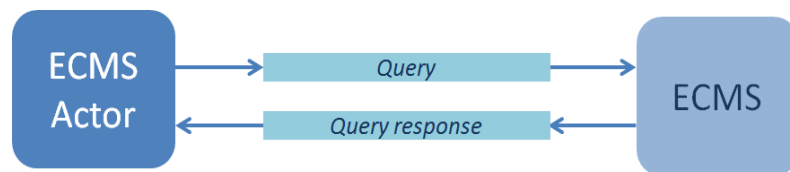


# REQUÊTES DANS ECMS

- ECMS permettra aux Contreparties ou BCN de consulter en U2A certaines informations relatives par exemple aux données référentielles, aux instructions, aux pools.

- Une requête est définie d'après les caractéristiques suivantes :

- L'acteur ECMS concerné,
- Le type d'information,
- Le moment où les données sont extraites



- Les résultats des requêtes seront consultables en U2A et exportables sous les formats suivants (pdf, excel, csv, xml).



## REQUÊTES DANS ECMS

- ECMS s'assurera que l'utilisateur souhaitant effectuer une requête est autorisé à accéder à ces informations.
- Si l'utilisateur n'a pas les droits requis, celui-ci recevra un message d'erreur.
- Une fois cette vérification effectuée, ECMS fournira à l'utilisateur les informations demandées.